

The logo for ITIVITI, with the letters in a bold, sans-serif font. The 'I' and 'T' are in a light blue color, while the 'I', 'V', 'I', 'T', and 'I' are in a darker blue color.

ITIVITI

Slavery and Human Trafficking Statement

Itiviti Group AB

itiviti.com

Slavery and Human Trafficking Statement

This statement is made pursuant to Section 54, Part 6 of the United Kingdom Modern Slavery Act 2015 and sets out the steps Itiviti Group AB (“Itiviti”) has taken during financial year 2019 to ensure that slavery and human trafficking does not take place in its business and supply chains. Itiviti is committed to acting ethically, transparently and with integrity in its business dealings and relationships.

About Itiviti

Itiviti is a world-leading technology provider for the capital markets industry. Trading firms, banks, brokers and institutional clients rely on Itiviti technology and expertise for streamlining their daily operations, to stay compliant, and to seize new market opportunities. Itiviti’s flexible offering includes products, solutions and services for end-to-end trading, market access, order routing, risk management and compliance. You can read more about Itiviti here: <https://www.itiviti.com>.

Our Supply Chains

Itiviti’s supply chains include IT infrastructure, software and data service providers, facilities and waste management, consulting and advisory services. Itiviti’s supply chains are focussed in the regions in which it has a presence.

Our Policies and principles in relation to slavery and human trafficking

Itiviti is committed to preventing human rights violations, including slavery and human trafficking, in its supply chains or any part of its business. Itiviti does not, directly or indirectly, use forced labour or other forms of involuntary labour, including prisoners, bonded workers, illegal workers, or other non-paid workers.

Due Diligence for slavery and human trafficking

As part of Itiviti’s ongoing initiative to identify and mitigate risk, processes and systems are being developed to:

- identify and assess potential risk areas in our supply chains
- mitigate the risk of slavery and human trafficking occurring in our supply chains
- monitor potential risk areas in our supply chains

Itiviti has processes and procedures in place to protect whistle blowers and uses an independent service to enable both its staff to report concerns confidentially and anonymously.

Suppliers and Partners Adherence to Our Values

Itiviti has a zero tolerance approach to slavery and human trafficking. Itiviti expects its suppliers and partners to comply with local laws including, but not limited to, employment laws, anti-corruption laws and any other laws that regulate how people work.

Itiviti’s procurement team is engaged with the on-boarding of new suppliers.

Employees Awareness and Reporting

Although Itiviti does not regard any part of its business to be particularly at risk of modern slavery and human trafficking, Itiviti will not tolerate any acts or behaviours by its employees or suppliers that may constitute modern slavery or human trafficking under the Act. Itiviti's policies support this position.

Employees are able to report any occurrence or suspicion of slavery or human trafficking within Itiviti directly to management or via a confidential and anonymous whistleblowing channel.

Effective Actions taken to Combat Slavery and Human Trafficking

During the financial year 2019, Itiviti has taken the following steps to ensure that its supply chain is free from slavery and human trafficking:

- Contract templates have been reviewed to ensure that they include the obligation to comply with the UK Modern Slavery Act amongst other legislation
- A revised compliance training program has been prepared and is available to relevant staff

Itiviti Group Entities Covered by this Statement

- Itiviti Group AB
- Ullink SAS
- Nyfix Inc

Name of Company: for and on behalf of Itiviti Group AB

Name of Company Director: Rob Mackay

Date: 17 April 2020

Signature: R MACKAY